

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF TENNESSEE

W. Thomas Small, Jr.,

Plaintiff,

v.

Case No. 2:13-cv-02654-JTF-cgc

RMK High Income Fund, Inc., RMK Strategic
Income Fund, Inc., RMK Advantage Income
Fund, Inc., and RMK Multi- Sector High
Income Fund, Inc., Morgan Keegan &
Company, Inc., Regions Financial Corporation,
MK Holding, Inc., Morgan Asset Management,
Inc., James C. Kelsoe, Jr., Carter E. Anthony,
Brian B. Sullivan, Joseph Thompson Weller,
Allen B. Morgan, and J. Kenneth Alderman.

Action for Violations of the
Securities Act of 1933, Securities and
Exchange Act of 1934, Section 10(b) of
the Securities and Exchange Act and
SEC Rule 10(b)5, the Tennessee
Securities Act, the Mississippi
Securities Act, and the Tennessee
Consumer Protection Act

Defendants.

NOTICE OF CORRECTION AND REQUEST FOR ALIAS SUMMONS

On September 6, 2013, summonses were issued for service on Defendants in this case. It has come to the attention of Plaintiff's counsel that the summonses issued to Defendants Carter E. Anthony (D.E. 13) and Brian B. Sullivan (D.E. 14) were issued with incorrect addresses.

On September 24, 2013, a summons was re-issued to Defendant Brian B. Sullivan at an alternative address (D.E. 22). However, this summons was not designated as an alias summons. This notice serves to correct this omission.

In addition, Plaintiff requests that the clerk issue an Alias Summons to Carter E. Anthony in the form attached hereto as Exhibit A.

Respectfully submitted,

HARRIS SHELTON HANOVER WALSH, PLLC

s/Christopher S. Campbell

Christopher S. Campbell (TN BPR No. 19061)

Laura S. Martin (TN BPR No. 26457)

One Commerce Square Building

40 S. Main Street, Suite 2700

Memphis, Tennessee 38103-5527

(901) 525-1455 Telephone
(901) 526-4084 Facsimile
ccampbell@harrisskelton.com
lmartin@harrisskelton.com

JAMES A. DUNLAP JR. & ASSOCIATES, LLC

s/James A. Dunlap Jr.

James A. Dunlap Jr. *pro hac vice*
310 Windsor Gate Cove NE
Atlanta, Georgia 30342
(404) 354-2363 Telephone
(404) 745-0195 Facsimile
jim@jamesdunlaplaw.com

Counsel for Plaintiffs

CERTIFICATE OF SERVICE

I hereby certify that on October 7, 2013, I electronically filed the foregoing document with the Clerk of the Court using the CM/ECF system.

s/Christopher S. Campbell

Christopher S. Campbell



AO 440 (Rev. 06/12) Summons in a Civil Action (Page 2)

Civil Action No. 2:13-cv-02654-JTF-cgc

PROOF OF SERVICE*(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))*

This summons for *(name of individual and title, if any)* _____
 was received by me on *(date)* _____.

☐ I personally served the summons on the individual at *(place)* _____
 _____ on *(date)* _____; or

☐ I left the summons at the individual's residence or usual place of abode with *(name)* _____
 _____, a person of suitable age and discretion who resides there,
 on *(date)* _____, and mailed a copy to the individual's last known address; or

☐ I served the summons on *(name of individual)* _____, who is
 designated by law to accept service of process on behalf of *(name of organization)* _____
 _____ on *(date)* _____; or

☐ I returned the summons unexecuted because _____; or

☐ Other *(specify)*:

My fees are \$ _____ for travel and \$ _____ for services, for a total of \$ 0.00.

I declare under penalty of perjury that this information is true.

Date: _____

Server's signature

Printed name and title

Server's address

Additional information regarding attempted service, etc: